

GLOBAL KEY ADVISORS Privacy Policy Statement

A Guide to How We Use and Protect Client Information

FACTS	WHAT DOES GLOBAL KEY ADVISORS DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ▪ Social Security number and financial account numbers ▪ Account balances and transaction information ▪ Income and employment information 	
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons GLOBAL KEY ADVISORS chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does GLOBAL KEY MANAGEMENT, LLC share?	Can you limit this sharing?
For our everyday business purposes —such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	Yes	No
For our marketing purposes —to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes —information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes —information about your creditworthiness	No	We do not share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	Yes	We do not share
To limit our sharing	<ul style="list-style-type: none"> ▪ Call (415) 848-8135. ▪ Visit us online at http://www.gkadvisors.com/privacy-policy or select the "Privacy Policy" link in the footer of any page ▪ Mail the form below <p>Please note: If you are a new customer, we can begin sharing your information immediately from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>	
Questions?	Call (415) 848-8135 or email privacy@gkadvisors.com	

Mail-in Form	
<p>If you have a joint account, your choice(s) will apply to everyone on your account, unless you mark below:</p> <p><input type="checkbox"/> Apply my choices only to me.</p>	<p>Mark as applicable:</p> <p><input type="checkbox"/> Do not allow your affiliates to use my personal information to market to me.</p> <p>NAME:</p> <p>ADDRESS:</p> <p>CITY, STATE, ZIP:</p> <p>ACCOUNT NUMBER:</p>
Mail To:	GLOBAL KEY ADVISORS 649 MISSION STREET, 5F SAN FRANCISCO, CA 94104
Who We Are	
Who is providing this notice?	GLOBAL KEY ADVISORS, subsidiaries, companies and affiliated entities, collectively "Global"

What We Do

How does Global protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. For more information, please visit http://www.gkadvisors.com/privacy-policy
How does Global collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> ▪ Open an account or make deposits or withdrawals from your account ▪ Direct us to buy securities or direct us to sell your securities ▪ Tell us about your investment or retirement portfolio We also collect your personal information from others, such as credit bureaus, affiliates, or other companies
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ▪ Sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial or nonfinancial companies. <ul style="list-style-type: none"> ▪ Our affiliates include companies under common control or ownership of GLOBAL KEY ADVISORS
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ▪ Our nonaffiliates may include those companies that offer valuable products and services in sole opinion of Global's management.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> ▪ Global does not jointly market, but may engage in such activities in the future.

Other Information

For Nevada Residents. We are providing you this notice pursuant to state law. You may be placed on our internal Do Not Call List by calling 800-326-7141. Nevada law requires that we also provide you with the following contact information: Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 East Washington Street, Suite 3900, Las Vegas, NV 89101; Phone Number: 702-486-3132; Email: BCPINFO@ag.state.nv.us.

For California Residents. In accordance with California law, we will not share information we collect about you with nonaffiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts. Among our affiliates, we will limit information sharing to the extent required by California law.